



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Katsumata, et al.) Group Art Unit Unknown
App. No. : 09/762,568)
Filed : February 6, 2001)
For : NOVEL PLASMID VECTOR)
Examiner : Unknown)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410. A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/26/01

By: C. Philip Poirier
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1st PCT/PTO

30 APR 2001
PATENT

Case Docket No. HIKAR1.001APC
Date: April 26, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Katsumata, et al.

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231, on

Appl. No. : 09/762,568

April 26, 2001

Filed : February 6, 2001

(Date)

For : NOVEL PLASMID VECTOR

C. Philip Poirier, Reg. No. 43,006

Examiner : Unknown

Group Art Unit : Unknown

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are the following:

(X) An Information Disclosure Statement;
(X) A PTO Form 1449 with Twenty (20) references;

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment, to Account No. 11-1410; and

(X) A return prepaid postcard.

C. Philip Poirier
C. Philip Poirier
Registration No. 43,006
Attorney of Record

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FORM PTO-1449 Information Disclosure Statement BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. HIKAR1.001APC	APPLICATION NO. 09/762,568
		APPLICANT Katsumata, et al.	
		FILING DATE February 6, 2001	GROUP Unknown

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	